



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

are application of

: **Confirmation No. 5300**

Takaaki NAMBA et al.

: Docket No. 2002_0230A

Serial No. 10/073,204

: Group Art Unit 2167

Filed February 13, 2002

CONTENT DISTRIBUTION MANAGEMENT
SYSTEM AND CONTENT DISTRIBUTION
MANAGEMENT METHOD

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449. A legible copy of each reference listed on the form PTO-1449 is enclosed.

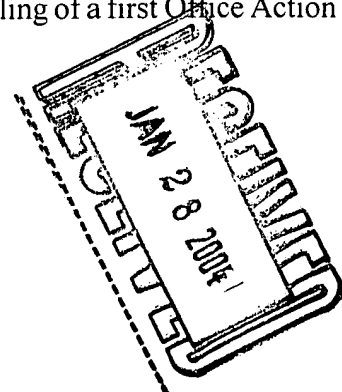
1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, **or**

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. ☐ This Information Disclosure Statement is submitted



after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

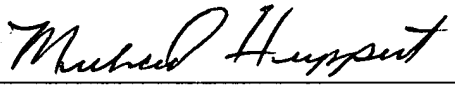
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:

a. ☐ a full or partial English language translation submitted herewith,

- b. ☒ a European Search Report (in the English language) submitted herewith,
 - c. ☐ the concise explanation contained in the specification of the present application at page,
 - d. ☐ the concise explanation set forth in the attached English language abstract,
 - e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
5. ☐ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Takaaki NAMBA et al.

By 

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January 12, 2004

FORM PTO 1449 (modified)

ATTY DOCKET NO.
2002_0230ASERIAL NO.
10/073,204U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: January 12, 2004

APPLICANT
Takaaki NAMBA et al.FILING DATE
February 13, 2002GROUP
2167

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AJ	0 653 695	5/1995	EP			
	AK	0 798 892	10/1997	EP			
	AL						
	AM						
	AN						

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OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

AO	Von Faber et al., entitled "The Secure Distribution of Digital Contents", Computer Security Applications Conference, 1997. Proceedings., 13th Annual San Diego, CA, USA 8-12 Dec. 1997, Los Alamitos, CA, USA, IEEE Comput. Soc., US, December 8, 1997, pages 16-22.
AP	Marc A. Kaplan, entitled "IBM Cryptolopes, SuperDistribution and Digital Rights Management", December 30, 1996.
AQ	Lechner et al., entitled "Communities - Business Models and System Architectures: The Blueprint of MP3.com, Napster and Gnutella Revisited", Proceedings of the Hawaii International Conference on System Sciences, XX, XX, January 3, 2001, pages 2293-2302.

EXAMINER

DATE CONSIDERED